CARNEGIE
INVESTMENT COUNSEL

INVEST WITH
INTENTION

15 Documents

to Bring When Meeting with a Financial Advisor

To ensure you feel prepared, we've compiled a checklist of documents to bring to your meeting. Feel free to omit any items that aren't relevant to your circumstances—and if you're uncertain about a particular document, include it anyway. We'll review everything together.

- ☐ **Investment account statements**
- ☐ **Retirement account statements**
- ☐ **Pension estimates**
- ☐ **Annuity statements**
- ☐ **Life / Long-term care / Disability insurance statements**
- ☐ **Home values and information on real estate holdings**
- ☐ **Mortgage information**
- ☐ **Student loan statements**
- ☐ **Value or appraisal of valuable assets**
- ☐ **Business valuations**
- ☐ **Stock option plans**
- ☐ **Social security statements**
- ☐ **Any other item that helps your advisor understand your overall assets and net worth.**

Bonus: Consider these two items prior to meeting with an advisor. Writing out and sharing your thoughts would be helpful.

- ☐ **How would you describe your risk tolerance as it relates to investing?**
- ☐ **What do you want to achieve with your financial assets?**